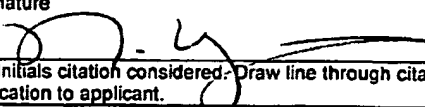


Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12221-003001	Application No. 09/931 561
	Applicant Kaashoek et al.		
	Filing Date Herewith	Group Art Unit 2134	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AS						
	AT						
	AU						
	AV						
	AW						
	AX						
	AY						
	AZ						
	AAA						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	ABB							
	ACC							
	ADD							
	AEE							
	AFF							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
nw	AGG	Cisco. Characterizing and Tracing Packet Floods Using Cisco Routers. http://www.cisco.com/warp/public/707/22.html
	AHH	D. Senie. RFC2644 (BCP34), Changing the Default for Directed Broadcasts in Routers. IETF, August 1999. http://www.ietf.org/rfc/rfc2644.txt
	AII	P. Ferguson, D. Senie. RFC2827 (BCP38): Network Ingress Filtering: Defeating Denial of Service Attacks which employ IP Source Address Spoofing. IETF, May 2000. http://www.ietf.org/rfc/rfc2827.txt
	AJJ	David G. Andersen, Hari Balakrishnan, and M. Frans Kaashoek, Robert Morris. The Case for Resilient Overlay Networks. Proc. of HotOS-VIII, Schloss Elmau, Germany, May 2001. http://nms.lcs.mit.edu/papers/ron-hotos2001.pdf


Examiner Signature 	Date Considered 9/17/04
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12221-003001	Application No. 09/981561
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Kaashoek et al.	
		Filing Date Herewith	Group Art Unit 2134

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AKK						
	ALL						
	AMM						
	ANN						
	AOO						
	APP						
	AQQ						
	ARR						
	ASS						
	ATT						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
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	AUU							
	AVV							
	AWW							
	AXX							
	AYY							

Other Documents (include Author, Title, Date, and Place of Publication)		
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nw	AZZ	Cisco. Web-Site Security and Denial-of-Service Protection. http://www.cisco.com/warp/public/cc/pd/si/11000/prod/it/cswsc_wi.htm
	AAAA	Analysis of a Denial of Service Attack on TCP" by Schuba et al Proceedings of the 1997 IEEE Symposium on Security and Privacy (IEEE Computer Society Press, May 1997
	ABBB	Stefan Savage, David Wetherall, Anna Karlin and Tom Anderson. Practical Network Support for IP Traceback. Work in progress Technical Report UW-CSE-00-02-01. Date unknown. http://www.cs.washington.edu/homes/savage/traceback.html
	ACCC	Web page entitled "Aggregate Based Congestion Control and Pushback" last modified April 2001 Found at http://www.aciri.org/pushback

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Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12221-003001	Application No. 09/931561
	Applicant Kaashoek et al.		
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U.S. Patent Documents

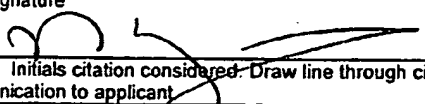
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	ADDD						
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	AFFF						
	AGGG						
	AHHH						
	AIII						
	AJJJ						
	AKKK						
	ALLL						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AMMM							
	ANNN							
	AOOO							
	APPP							
	AQQQ							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
<i>n</i>	ARRR	D. Song et al., "Advanced and Authenticated Marking Schemes for IP Traceback", Proc. IEEE INFOCOM, April 2001, pp. 878-886.
	ASSS	R. Stone, "CenterTrack: An IP Overlay Network for Tracking DoS Floods", Proceedings of 9 th USENIX Security Symposium, Denver, CO, August 2000, pp. 199-212.
	ATTT	H. Burch et al., "Tracing Anonymous Packets to Their Approximate Source", Proc. USENIX LISA 00, December 2000, pp. 319-327.
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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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Sheet 1 of 2

Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
12221-003001Application No.
09/931,561**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
Marinus Frans Kaashoek et al.Filing Date
August 16, 2001Group Art Unit
2134**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
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h w	AV	6,301,668	10-2001	Gleichauf et al.	—	—	
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Examiner Signature

Date Considered

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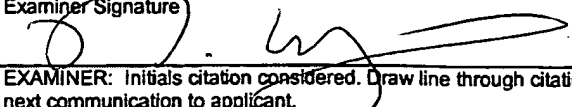
Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12221-003001	Application No. 09/931,561
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Marinus Frans Kaashoek et al.	
		Filing Date August 16, 2001	Group Art Unit 2134

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	ACC						

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Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	ADD							
	AEE							
	AFF							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
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	AHH	McFadden, October 25, 2000, Ent, 5, 17, 22.
	AII	Greene, February 16, 1998, pg. 20
	AJJ	Johnson, November 27, 2000, Network World, "Instant, low cost VPNs: Just add user"
	AKK	Martin, August 14, 2000, Network World, pg. 86.
	ALL	Snyder, July 19, 1999, Network World, pg. 53.
	AMM	Mell, P. et al., "Mobile Agent Attack Resistant Distributed Hierarchical Intrusion Detection Systems," RAID 1999, Sep. 99, pp. 1-8.
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	APP	Stallings, William, "Cryptography and Network Security", Principles and Practice, 2 nd Edition, Intruders and Viruses, Chapter 15, pp. 478-501.
	AQQ	Roesch, Martin, "Snort - Lightweight Intrusion Detection for Networks", Proceedings of LISA XIII '99: 13 th Systems Administration Conference, Nov. 7-12, 1999, pp. 229-238.
nw	ARR	Ohta et al., "Detection, Defense, and Tracking of Internet-Wide Illegal Access in a Distributed Manner", Internet Society, July 18-21, 2000, Retrieved from the Internet on 10/27/04: <URL: http://www.isoc.org/inet2000/cdproceedings/1f/1f_2.htm >
	ASS	

Examiner Signature 	Date Considered 11/17/05
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	